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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Thomas J. Daley et al.

Filing Date:

January 29, 2004

For:

SYSTEM AND METHOD FOR AVOIDING TRANSACTION

COSTS ASSOCIATED WITH TRADING ORDERS

Mail Stop Patent Application Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Respectfully submitted,

Baker Botts L.L.P. Attorneys for Applicants

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Date: January 29, 2004

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Customer No.

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Thomas J. Daley et al., attorney docket no. 069547.0163, 64 pages including drawings					January 29, 2004		
Patent Pending Application entitled, "System and Method for Routing a Trading Order According to Price," by Thomas J. Daley et al., attorney docket no. 069547.0173, 72 pages including drawings					filing date January 29, 2004		
Patent Pending Application entitled, "System and Method for Controlling the Disclosure of a Trading Order," by Thomas J. Daley et al., attorney docket no. 069547.0174, 66 pages including drawings					filing date January 29, 2004		
Patent Pending Application entitled, "System and Method for Matching Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0176, 74 pages including drawings					filing date January 29, 2004		
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